

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

UNITED STATES OF AMERICA	)	
	)	
v.	)	No. 04-10288-RWZ
	)	
CARLOS ESPINOLA, ET AL.,	)	
Defendants	)	

**GOVERNMENT'S REQUEST FOR JURY INSTRUCTIONS**

The United States of America hereby submits the attached jury instructions pursuant to Rule 30 of the Federal Rules of Criminal Procedure. The government requests that the Court instruct the jury in accordance with the proposed instructions, in addition to other instructions normally given. The government reserves its right to supplement or modify these requested instructions in light of the requests, if any, filed by the defendant and the evidence in the case.

Respectfully submitted,

MICHAEL J. SULLIVAN  
United States Attorney

By: /s/Michael J. Pelgro  
Michael J. Pelgro  
David G. Tobin  
Assistant U.S. Attorneys

**PROPOSED INSTRUCTION: DUTY OF THE JURY TO FIND FACTS  
AND FOLLOW LAW**

It is your duty to find the facts from all the evidence admitted in this case. To those facts you must apply the law as I give it to you. The determination of the law is my duty as the presiding judge in this court. It is your duty to apply the law exactly as I give it to you, whether you agree with it or not. You must not be influenced by any personal likes or dislikes, prejudices or sympathy. That means that you must decide the case solely on the evidence before you and according to the law. You will recall that you took an oath promising to do so at the beginning of the case.

In following my instructions, you must follow all of them and not single out some and ignore others; they are all equally important. You must not read into these instructions, or into anything I may have said or done, any suggestions by me as to what verdict you should return -- that is a matter entirely for you to decide.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §3.01 (1998 Edition).

**PROPOSED INSTRUCTION: OVERVIEW OF THE CASE**

In this case there are two defendants: Carlos Espinola and Joseph Allen.

In Count One of the Indictment, the government alleges that Espinola and Allen conspired with at least one other person to distribute, and to possess with intent to distribute, oxycodone, and that they did this from at least in or about October 2003 through on or about June 29, 2004.

In Count 16 of the Indictment, the government alleges that Allen aided and abetted the distribution of oxycodone, or the possession of oxycodone with the intent to distribute it, on or about June 7, 2004.

**PROPOSED INSTRUCTION: PRESUMPTION OF INNOCENCE; PROOF BEYOND A REASONABLE DOUBT**

It is a cardinal principle of our system of justice that every person accused of a crime is presumed to be innocent unless and until his guilt is established beyond a reasonable doubt. The presumption is not a mere formality. It is a matter of the most important substance.

The presumption of innocence alone may be sufficient to raise a reasonable doubt and to require the acquittal of a defendant. The defendants before you have the benefit of that presumption throughout the trial, and you are not to convict either of them on a particular charge unless you are persuaded of their guilt on that charge beyond a reasonable doubt.

The presumption of innocence until proven guilty means that the burden of proof is always on the government to satisfy you that a defendant is guilty of the crime or crimes with which he is charged beyond a reasonable doubt. The law does not require that the government prove guilt beyond all possible doubt; proof beyond a reasonable doubt is sufficient to convict. This burden never shifts to the defendant. It is always the government's burden to prove each of the elements of the crime charged beyond a reasonable doubt by the evidence and the reasonable inferences to be drawn from that evidence. The defendant has the right to rely upon the failure or inability of the government to establish beyond a reasonable doubt any essential element of a crime charged against him. If, after fair and impartial consideration of all the evidence, you have a reasonable doubt as to a defendant's guilt, it is your duty to acquit him. On the other hand, if after fair and impartial consideration of all the evidence, you are satisfied beyond a reasonable doubt of a defendant's guilt of a particular crime, you should vote to convict him.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §3.02 (1998 Edition).

**PROPOSED INSTRUCTION: WHAT IS EVIDENCE; INFERENCES**

The evidence from which you are to decide what the facts are consists of sworn testimony of witnesses, both on direct and cross-examination, regardless of who called the witness; the exhibits that have been received into evidence; and any facts to which the lawyers have agreed or stipulated.

The evidence in this case includes facts to which the parties have agreed or stipulated. A stipulation means simply that the government and the defendants accept the truth of a particular proposition or fact. Since there is no disagreement, there is no need for evidence apart from the stipulation. You must accept the stipulation as fact even though nothing more was said about it one way or the other.

Although you may consider only the evidence presented in the case, you are not limited in considering that evidence to the bald statements made by the witnesses or contained in the documents. In other words, you are not limited solely to what you see and hear as the witnesses testify. You are permitted to draw from facts that you find to have been proven such reasonable inferences as you believe are justified in the light of common sense and personal experience.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §§ 3.04, 2.01 (as to stipulations) (1998 Edition).

**PROPOSED INSTRUCTION: KINDS OF EVIDENCE: DIRECT AND CIRCUMSTANTIAL**

There are two kinds of evidence: direct and circumstantial. Direct evidence is direct proof of a fact, such as testimony of an eyewitness that the witness saw something. Circumstantial evidence is indirect evidence, that is proof of a fact or facts from which you could draw the inference, by reason and common sense, that another fact exists, even though it has not been proven directly. You are entitled to consider both kinds of evidence. The law permits you to give equal weight to both, but it is for you to decide how much weight to give to any evidence.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §3.05 (1998 Edition).

**PROPOSED INSTRUCTION: WHAT IS NOT EVIDENCE**

Certain things are not evidence. I will list them for you:

(1) Arguments and statements by lawyers are not evidence because the lawyers are not witnesses. What they say in their opening statements, closing arguments and at other times is intended to help you interpret the evidence, but it is not evidence. If the facts as you remember them from the evidence differ from the way the lawyers have stated them, your memory of them controls.

(2) Questions and objections by lawyers are not evidence. Lawyers have a duty to their clients to object when they believe a question is improper under the rules of evidence. You should not be influenced by the objection or by my ruling on it.

(3) Anything that I have excluded from evidence or ordered stricken and instructed you to disregard is not evidence. You must not consider such items.

(4) Anything you may have seen or heard when the court was not in session is not evidence. You are to decide the case solely on the evidence received at trial.

(5) The indictment is not evidence. This case, like most criminal cases, began with a charge, or an indictment. You will have that indictment before you in the course of your deliberations in the jury room. That indictment was returned by a grand jury, which heard only the government's side of the case. I caution you, as I have before, that the fact that these defendants have been indicted is no evidence whatsoever of their guilt. The indictment is simply an accusation. It is the means by which the allegations and charges of the government are brought before this court. The indictment proves nothing.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §3.08 (1998 Edition).

**PROPOSED INSTRUCTION: CREDIBILITY OF WITNESSES**

Whether the government has sustained its burden of proof does not depend upon the number of witnesses it has called or upon the number of exhibits it has offered, but instead upon the nature and quality of the evidence presented. You do not have to accept the testimony of any witness if you find the witness not credible. You must decide which witnesses to believe and which facts are true. To do this, you must look at all the evidence, drawing upon your common sense and personal experience.

You may want to take into consideration such factors as the witnesses' conduct and demeanor while testifying; their apparent fairness or any bias they may have displayed; any interest you may discern that they may have in the outcome of the case; any prejudice they may have shown; their opportunities for seeing and knowing the things about which they have testified; the reasonableness or unreasonableness of the events that they have related to you in their testimony; and any other facts or circumstances disclosed by the evidence that tend to corroborate or contradict their versions of the events.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §3.06 (1998 Edition).



**PROPOSED INSTRUCTION: CAUTION AS TO COOPERATING WITNESS  
TESTIMONY**

You have heard the testimony of co-defendant Joseph Baldassano, who is cooperating with the government in this case. Some people in this position are entirely truthful when testifying. Still, you should consider this testimony with care and caution. When evaluating the credibility of such a witness, you may consider whether, to be helpful to law enforcement, he had reason to make up stories or exaggerate what others did.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §2.07 (1998 Edition).

**PROPOSED INSTRUCTION: WEIGHING THE TESTIMONY**

### **OF AN EXPERT WITNESS**

You have heard testimony from an expert witness. An expert witness has special knowledge or experience that allows that witness to give an opinion.

You may accept or reject such testimony. In weighing the testimony, you should consider the factors that generally bear upon the credibility of a witness as well as the expert witness's education and experience, the soundness of the reasons given for the opinion and all other evidence in the case.

Remember that you alone decide how much of a witness's testimony to believe, and how much weight it should be given.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §2.06 (1998 Edition).

**PROPOSED INSTRUCTION: “ON OR ABOUT” and “IN OR ABOUT”- EXPLAINED**

The Indictment charges that the offense in Count One was ongoing from an unknown date but “at least by in or about October, 2003, and continuing thereafter until on or about June 10, 2004,” and that the offense charged in Count 16 was committed “on or about” June 7, 2004. Although it is necessary for the government to prove beyond a reasonable doubt that an offense was committed on a date reasonably near the dates alleged in the indictment, it is not necessary for the government to prove that the offense was committed precisely on the dates charged.

**Authority:** Adapted from Devitt, Blackmar, Wolff, and O’Malley, Federal Jury Practice and Instructions, §13.05 (4<sup>th</sup> ed. 1992).

**PROPOSED INSTRUCTION: LANGUAGE OF THE INDICTMENT: CONJUNCTIVE  
AND DISJUNCTIVE**

Although the Indictment charges in the conjunctive “and,” that is, it says that the defendants knowingly and intentionally conspired to possess with intent to distribute and to distribute oxycodone (in Count One); and that the defendant Allen aided and abetted the distribution, and the possession with intent to distribute, oxycodone (Count 16), the government only needs to prove these charges in the disjunctive “or.”

In plain English, what this means is that, as to Count One, it is enough for the government to prove that a defendant conspired to possess oxycodone with intent to distribute it, or that he conspired to distribute it -- the government need not prove both. As to the remaining count, it is enough for the government to prove that defendant Allen aided and abetted the possession of oxycodone with intent to distribute it, or that he aided and abetted the distribution of it -- the government need not prove both.

**Authority:** United States v. McCann, 465 F.2d 147, 162 (5th Cir. 1972); Fields v. United States, 408 F.2d 885, 887 (5th Cir. 1969).

**PROPOSED INSTRUCTION: DEFENDANT'S CONSTITUTIONAL RIGHT NOT TO TESTIFY**

The defendants have a constitutional right not to testify and no inference of guilt, or of anything else, may be drawn from the fact that a defendant did not testify. For any of you to draw such an inference would be wrong; indeed, it would be a violation of your oath as a juror.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §3.03 (1998 Edition).

### **PROPOSED INSTRUCTION: CONSPIRACY**

A conspiracy is an agreement or mutual understanding, knowingly made or knowingly entered into by at least two people, to violate the law through some joint or common plan or course of action. The gist of the offense is a combination, or an agreement, to disobey or disregard the law to achieve some unlawful purpose.

The crime of conspiring to violate Title 21, United States Code, Section 846 -- that is, conspiring to possess with intent to distribute or to distribute oxycodone -- is separate from the crime of actually distributing oxycodone. The law refers to the goal of the conspiracy -- in this case, the distribution, or possession with intent to distribute, oxycodone -- as the substantive crime.

**Authority:** Adapted from 1 L.B. Sand, Modern Federal Jury Instructions: Criminal, ¶19.01 (1993). See also United States v. Flores-Rivera, 56 F.3d 319, 324 (1st Cir. 1995)(elements of conspiracy to import cocaine established, absent actual importation of cocaine, where there was knowing and voluntary participation in conspiracy, and intent to effectuate object of conspiracy), citing, United States v. Piper, 35 F.3d 611, 615 (1st Cir. 1994), (defining "intent to commit" object of conspiracy as "an intent to effectuate the commission of the substantive offense. A defendant need not have had the intent to personally commit the substantive crime.")

### **PROPOSED INSTRUCTION: CONSPIRACY TO POSSESS WITH INTENT TO**

**DISTRIBUTE AND TO DISTRIBUTE OXYCODONE**  
**[21 U.S.C. § 846]**

Defendants Carlos Espinola and Joseph Allen are charged in Count One of the Indictment with conspiracy to possess with intent to distribute or to distribute oxycodone, a Schedule II controlled substance. It is against federal law to conspire or agree with someone else to commit this crime.

For you to find the defendants guilty of conspiracy, you must be convinced that the government has proven both of the following things beyond a reasonable doubt:

**First:** that the agreement specified in the indictment, and not some other agreement or agreements, existed among the defendants, to possess with intent to distribute or to distribute oxycodone; and

**Second:** that the defendants willfully joined in that agreement.

A conspiracy is an agreement, spoken or unspoken. The conspiracy does not have to be a formal agreement or plan in which everyone involved sat down together and worked out all the details. But the government must prove beyond a reasonable doubt that those who were involved shared a general understanding about the crime. Mere similarity of conduct among various people, or the fact that they may have associated with each other or discussed common aims and interests does not necessarily establish proof of the existence of a conspiracy, but you may consider such factors.

Acting “willfully” means acting voluntarily and intelligently and with the specific intent that the underlying crime be committed -- that is to say, with bad purpose, either to disobey or disregard the law -- not acting by ignorance, accident or mistake. The government must prove two types of intent beyond a reasonable doubt before the defendant can be said to have willfully joined the

conspiracy: an intent to agree, and an intent, whether reasonable or not, that the underlying crime be committed. Mere presence at the scene of a crime is not enough alone, but you may consider it among other factors. Intent may be inferred from the surrounding circumstances.

Proof that the defendant willfully joined in the agreement must be based upon evidence of his own words or actions. You need not find that the defendant agreed specifically to, or knew about all the details of, the crime, or knew every other co-conspirator, or that he participated in each act of the agreement, or played a major role, but the government must prove beyond a reasonable doubt that the defendant knew the essential features and general aims of the venture. Even if the defendant was not part of the agreement at the very start, he can be found guilty of conspiracy if the government proves that he willfully joined the agreement later. On the other hand, a person who has no knowledge of a conspiracy, but simply happens to act in a way that furthers some object or purpose of the conspiracy, does not thereby become a conspirator.

The government does not have to prove that the conspiracy succeeded or was achieved. The crime of conspiracy is complete upon the agreement to commit the crime to possess with intent to distribute or to distribute oxycodone.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions § 4.03 (1998 Edition).



**PROPOSED INSTRUCTION: DISTRIBUTION OF A CONTROLLED SUBSTANCE**  
**[21 U.S.C. § 841(a)(1)]**

In Count One of the Indictment, it is alleged that the object of the conspiracy was to distribute, or to possess with intent to distribute, oxycodone during the period alleged in Count One. It is against federal law to possess oxycodone with the intent to distribute it or to distribute oxycodone, that is, to possess it with the intent to transfer it, or in fact to transfer it, to another person.

The term “distribute” means to deliver a controlled substance. The term “deliver” means the actual, constructive, or attempted transfer of a controlled substance. Thus, one distributes a controlled substance under federal law when one transfers it, or attempts to transfer it, in any way to another person.

One way of distributing a controlled substance is to sell it. It is not necessary, however, that a defendant have sold the controlled substance or have benefitted in any way from the transfer.

**Authority:** 21 U.S.C. § 802(8) and (11); Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions § 4.23 (1998 Edition); United States v. Hernandez, 218 F.3d 58, 65 (1<sup>st</sup> Cir. 2000) (government need not prove that the defendant knew which particular controlled substance was involved); United States v. Kairouz, 751 F.2d 467, 468-69 (1<sup>st</sup> Cir. 1985) (affirming instruction saying, “[I]f defendant . . . ‘intend[ed] to distribute a controlled substance, it does not matter that . . . [he has] made a mistake about what controlled substance it happen[ed] to be.’”).

**PROPOSED INSTRUCTION: DISTRIBUTION OF A CONTROLLED SUBSTANCE**  
**[21 U.S.C. § 841(a)(1)]**

In Count 16 of the Indictment, defendant Joseph Allen is accused of aiding and abetting the distribution of oxycodone, or the possession of oxycodone with the intent to distribute it, on or about June 7, 2004. In order for you to consider whether or not defendant Allen is guilty of this offense, let me talk first about what it means to distribute a controlled substance. As I stated, it is against federal law to possess oxycodone with the intent to distribute it, or distribute oxycodone, that is, to possess it with intent to transfer, or in fact transfer, oxycodone to another person.

Thus, you must first determine whether the government has proven beyond a reasonable doubt that a distribution occurred on or about June 7, 2004. In order to establish this, the government must prove three things beyond a reasonable doubt:

- First:** that a defendant (in this case, either Joseph Baldassano or Jose Melo), on or about the date alleged, transferred oxycodone to another person or possessed oxycodone with the intent to transfer it to another person;
- Second:** that the defendant knew that the substance was a controlled substance; and
- Third:** that the defendant acted intentionally, that is, that it was his conscious object to transfer the controlled substance to another person.

As I stated earlier, it is not necessary that Baldassano or Melo have sold the oxycodone for money or have benefitted in any way from the transfer.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §4.23 (1998 Edition); United States v. Hernandez, 218 F.3d 58, 65 (1<sup>st</sup> Cir. 2000) (government need not prove that the defendant knew which particular controlled substance was involved); United States v. Kairouz, 751 F.2d 467, 468-69 (1<sup>st</sup> Cir. 1985) (affirming instruction saying, “[I]f defendant . . . ‘intend[ed] to distribute a controlled substance, it does not matter that . . . [he has] made a mistake about what controlled substance it happen[ed] to be.’”).

**PROPOSED INSTRUCTION: AIDING AND ABETTING DISTRIBUTION**  
**[18 U.S.C. § 2]**

In Count 16, defendant Allen is accused of “aiding and abetting” the crime charged.

To “aid and abet” means to intentionally help someone else commit a crime. To establish aiding and abetting, the government must prove beyond a reasonable doubt that (1) someone else (in this case, Baldassano or Melo) distributed oxycodone or possessed oxycodone with intent to distribute it and that (2) Allen associated himself in some way with the crime and participated in it as he would in something he wished to bring about. This means that the government must prove that a defendant consciously shared the other person's knowledge of the underlying criminal act and intended to help him.

The defendant need not himself perform the underlying criminal act, be present when it is performed, or be aware of the details of its execution, to be found guilty of aiding and abetting it. But a general suspicion that an unlawful act may occur or that something criminal is happening is not enough. Mere presence at the scene of a crime and knowledge that a crime is being committed are also not sufficient to establish aiding and abetting.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §4.02 (1998 Edition).

**PROPOPOSED INSTRUCTION: “POSSESSION”**

You have heard me discuss charges of “possessing” with intent to distribute, or to distribute, controlled substances, and the charge of conspiring to commit that kind of offense. The term “possess” means to exercise authority, dominion or control over something. The law recognizes different kinds of possession. “Possession” includes both actual and constructive possession. A person who has direct physical control of something on or around his person, for example, holding it or having it in a pocket, is in actual possession of it. A person who is not in actual possession, but who has both the power and the intention to exercise control over something is in constructive possession of it. Whenever I use the term possession in these instructions, I mean constructive as well as actual possession.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §4.22 (1998 Edition).

**SCHEDULES OF CONTROLLED SUBSTANCES**

As a matter of law, I instruct you that oxycodone is a Schedule II controlled substance. You must take this as a fact.

**Authority:** 21 U.S.C. §§ 802(6), 812.

**PROPOSED INSTRUCTION: USE OF TAPES AND TRANSCRIPTS**

During the trial you heard tapes of recorded conversations. This is proper evidence for you to consider. To assist you, I allowed you to have transcripts to read along as the tapes were played. Those transcripts were merely to help you understand what was said on the tapes -- they are not evidence. If you believe at any point that the transcripts said something different than what you heard on the tapes, you should be guided solely by what you heard on the tapes, and not by what you saw in the transcripts.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §2.08 (1998 Edition).

### **ACTS AND DECLARATIONS OF CO-CONSPIRATORS**

I have admitted into evidence certain acts and statements of various individuals because these acts and statements are alleged to have been committed during the course and in furtherance of the conspiracy.

The reason for allowing this evidence to be received against the defendants has to do with the nature of the crime of conspiracy. A conspiracy is often referred to as a partnership in crime. Thus, as in other types of partnerships, when people enter into a conspiracy to accomplish an unlawful end, each and every member becomes an agent for the other conspirators in carrying out the conspiracy.

Accordingly, the reasonably foreseeable acts, declarations, statements and omissions of any member of the conspiracy in furtherance of the common purpose of the conspiracy, are deemed under the law to be the acts of all of the members, and all of the members are responsible for such acts, declarations, statements and omissions. This is so even if the acts or statements in question were done or made in the absence of the defendant and without his knowledge.

**Authority:** United States v. Petrozziello, 548 F.2d 20, 23 (1st Cir. 1977); L.B. Sand, Modern Federal Jury Instructions: Criminal, §19-9 (1990); United States v. Angiulo, 847 F. 2d 956, 969 (1st Cir. 1988) ("As long as it is shown that a party, having joined a conspiracy, is aware of the conspiracy's features and general aims, statements pertaining to the details of plans to further the conspiracy can be admitted against the party even if the party does not have specific knowledge of the acts spoken of."). Devitt and Blackmar, Federal Jury Practice and Instructions, §14.13 (3d ed. 1977).

**PROPOSED JURY INSTRUCTION: PUNISHMENT**

The question of the possible punishment the defendants face is of no concern to the jury and should not, in any sense, enter into or influence your deliberations. The duty of imposing sentence rests exclusively upon the court. Your function is to weigh the evidence in the case and to determine whether a defendant is guilty beyond a reasonable doubt, solely on the basis of such evidence. Under your oath as jurors, you cannot allow consideration of the punishment that may be imposed on a defendant, if he is convicted, to influence your verdict, in any way, or in any sense enter into your deliberations.

**Authority:** L. B. Sand, Modern Federal Jury Instructions: Criminal, §9-1 (1990).



**FOREPERSON'S ROLE; UNANIMITY**

I come now to the last part of the instructions, the rules for your deliberations.

When you retire you will discuss the case with the other jurors to reach agreement if you can do so. You shall permit your foreperson to preside over your deliberations, and your foreperson will speak for you here in court. Your verdict must be unanimous.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §6.01 (1998 Edition).

**CONSIDERATION OF EVIDENCE**

Your verdict must be based solely on the evidence and on the law as I have given it to you in these instructions. However, nothing that I have said or done is intended to suggest what your verdict should be -- that is entirely for you to decide.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §6.02 (1998 Edition).

**REACHING AGREEMENT**

Each of you must decide the case for yourself, but you should do so only after considering all the evidence, discussing it fully with the other jurors, and listening to the views of the other jurors.

Do not be afraid to change your opinion if you think you are wrong. But do not come to a decision simply because other jurors think it is right.

This case has taken time and effort to prepare and try. There is no reason to think it could be better tried or that another jury is better qualified to decide it. It is important therefore that you reach a verdict if you can do so conscientiously. If it looks at some point as if you may have difficulty in reaching a unanimous verdict, and if the greater number of you are agreed on a verdict, the jurors in both the majority and the minority should reexamine their positions to see whether they have given careful consideration and sufficient weight to the evidence that has favorably impressed the jurors who disagree with them. You should not hesitate to reconsider your views from time to time and to change them if you are persuaded that this is appropriate.

It is important that you attempt to return a verdict, but of course, only if each of you can do so after having made your own conscientious determination. Do not surrender an honest conviction as to the weight and effect of the evidence simply to reach a verdict.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §6.03 (1998 Edition).

**RETURN OF VERDICT FORM**

I want to read to you now what is called the verdict form. This is simply the written notice of the decision you will reach in this case.

**[Read verdict form]**

After you have reached unanimous agreement on a verdict, your foreperson will fill in the form that has been given to you, sign and date it, and advise the jury officer outside your door that you are ready to return to the courtroom.

After you return to the courtroom, your foreperson will deliver the completed verdict form as directed in open court.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §6.04 (1998 Edition).

**COMMUNICATION WITH THE COURT**

If it becomes necessary during your deliberations to communicate with me, you may send a note through the jury officer signed by your foreperson or by one or more members of the jury. No member of the jury should ever attempt to communicate with me on anything concerning the case except by a signed writing, and I will communicate with any member of the jury on anything concerning the case only in writing, or orally here in open court. If you send out a question, I will consult with the parties as promptly as possible before answering it, which may take some time. You may continue with your deliberations while waiting for the answer to any question. Remember that you are not to tell anyone -- including me -- how the jury stands, numerically or otherwise, until after you have reached a unanimous verdict or have been discharged.

**Authority:** Pattern Jury Instructions: **First Circuit**, Criminal Cases Committee on Pattern Criminal Jury Instructions §6.05 (1998 Edition).

Respectfully submitted,

MICHAEL J. SULLIVAN

United States Attorney

By: /s/Michael J. Pelgro  
Michael J. Pelgro  
David G. Tobin  
Assistant U.S. Attorneys

Date: May 17, 2006.

Certificate of Service

I hereby certify that this document filed through the ECF system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing (NEF) and that a paper copy will be sent to those indicated as non-registered participants on May 17, 2006.

/s/Michael J. Pelgro  
Michael J. Pelgro  
Assistant U.S. Attorney